Schedule III [See regulation 6(4)]

Liquid Capital Statement

for the month of **30-sep-20**

of M/s. MGM Securities (Pvt) Ltd

Submission Date 14-OCT-2020 16:37:45

Pack Support Adjustments Value 1 Assets 7,890,510 7,890,510 7,890,510 1.1 Property & Equipment 7,890,510 7,890,510 7,890,510 1.3 Introduce Assets 2,500,000 2,500,000 2,500,000 2,500,000 1.3 Introduce Assets 2,500,000 2,500,000 2,500,000 2,500,000 1.4 Introduce Assets 2,500,000 2,500,000 2,500,000 2,500,000 1.4 Introduce Assets 2,500,000		Submission Date 14-OCT-2020 10.37.43		Page	1 Of 3
Assets 7,886,510 1.1 Property & Equipment 7,886,510 7,886,510 1.2 Interplie Asets 2,500,000 2,500,000 2,500,000 1.3 Investment In Doot. Securities Interplie Asets 2,500,000 2,500,000 2,500,000 1.4 Investment In Doot. Securities Interplie Asets Interplie Asets Interplie Asets Interplie Asets 1.5 Of the balance sheet value in the case of toruse of more than 3 yeas. Interplie Asets	S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
11 Property & Equipment 7.896.510 7.896.510 12 Intragible Asits 2.500.000 2.500.000 13 Investment In Odd, Bacultities 2.500.000 2.500.000 14 Investment In Debt. Securities 1 1 15 Investment In Debt. Securities 1 1 1.15 Of the balance shoet value in the case of tenue upto 1 year. 1 1 1 1.10% of the balance sheet value, in the case of tenue upto 1 year. 1 1 1 1 1.10% of the balance sheet value, in the case of tenue upto 1 year. 1 1 1 1 1.10% of the balance sheet value, in the case of tenue of more than 3 years. 1 1 1 1.11 Trivestiment In Equity Securities on the cutoff date as computed by the Securities Exchange for respective securities which are the factor for sale. Amount paid a subscription morey coded date date on the police of value and the investment in these securities which are the factor for sale and the investment in these securities which are theledged in favor of slock schemage (Clearing House against Margin Financing requirements or piedged the favor of slock schemage (Clearing House against Margin Financing requirements or piedged the favor of slock schemage (Clearing House against Margin Financing requirements or piedged the favor of slock			Pak Rupee	Adjustments	Value
Induction 2,500,000 2,500,000 11 Investment in Dock Securities 1 12 Introduction 1 13 Investment in Dock Securities 1 14 Investment in Cours of Insure of Insure of Insure Insure Securities 1 15 Intelligent in the case of Insure of Insure Securities 1 16 Intelligent in the case of Insure of Insure Securities 1 17 Intelligent in the case of Insure of Insure Securities 1 1 18 Instrument in Equity Securities 1 1 1 15 Investment in Equity Securities 1 1 1 1 16 Investment in Equity Securities 1	1	Assets			
1.2 Intragible Assist 2,500,000 2,500,000 1.3 Investment in Obt Securities 1 1.4 Investment in Debt. Securities 1 1.7 Securities 1 1.8 Investment in Debt. Securities 1 1.7 Securities 1 1.8 Securities 1 1.9 Securities 1 1.10% of the balance sheet value, in the case of tenue of more than 3 yeas. 1 1.10% of the balance sheet value, in the case of tenue of more than 3 yeas. 1 1.10% of the balance sheet value, in the case of tenue of more than 3 yeas. 1 1.10% of the balance sheet value, in the case of tenue of more than 3 yeas. 1 1.11 Investment in Equity Securities 1 1.12 Investment in Equity Securities 1 1.13 Investment in POMfar (or Sale A mout paid as subscription morey against Investment in POMfar (or Sale A mout paid as subscription morey against Investment in POMfar (or Sale A mout paid as subscription morey against Investment	1.1		7,896,510	7,896,510	
1.4 Investment in Debt. Securities		Intangible Assets	2,500,000	2,500,000	
If listed than: If listed than: 15% of the balance sheet value in the case of tenue from 1.3 years. Image: Control of the balance sheet value in the case of tenue from 1.3 years. III OP of the balance sheet value in the case of tenue information of tenue sheet value. Image: Control of the balance sheet value. III OP of the balance sheet value. Image: Control of the balance sheet value. Image: Control of the balance sheet value. III OP of the balance sheet value. Image: Control of the balance sheet value. <td></td> <td></td> <td></td> <td></td> <td></td>					
I. 5% of the balance sheet value, in the case of tenure torm 1-3 years. III. 10% of the balance sheet value, in the case of tenure of more than 3 years. III. 10% of the balance sheet value, in the case of tenure of more than 3 years. III. 10% of the balance sheet value, in the case of tenure of more than 3 years. III. 10% of the balance sheet value, in the case of tenure of more than 3 years. III. 10% of the balance sheet value, in the case of tenure of more than 3 years. III. 10% of the balance sheet value, in the case of tenure of more than 3 years. III. 10% of the balance sheet value, in the case of tenure of more than 3 years. III. 10% of the balance sheet value, in the case of tenure of more than 3 years. III. 10% of the balance sheet value, in the case of tenure of more than 3 years. III. 10% of the balance sheet value, in the case of tenure of more than 3 years. 1.5 Investment in Equity Securities III. If unlisted. 100% of early against Investment in IPObffer for Sale: Amount paid as subscription money provided that 10% hare than be applied to Value of Investment in any asset including shares of listed securities III. If unlisted. 100% of carrying value. 1.6 III. If unlisted. 100% of early against Magin Financing requirements of socurities broker. III. If unlisted. 10% of Sack sectings of Investment in note securities which are provided that 10% hare of sole applied to 20 certifies that are in Block? Freeze of Fieldge status as on reporting date. (July 19, 2017) IIII. If Investment in subscription in mark section in the securities social deposities of Investment in social status ason reporting date. (July 19, 2017)	1.4				
ii. 75% of the balance sheet value, in the case of tenue from 1-3 years.					
III. 10% of the balance sheet value, in the case of tenue of more than 3 years. III. 10% of the balance sheet value, in the case of tenue of more than 3 years. III. 10% of the balance sheet value, in the case of tenue form 1-3 years. III. 10% of the balance sheet value, in the case of tenue form 1-3 years. III. 10% of the balance sheet value, in the case of tenue form 1-3 years. III. 10% of the balance sheet value, in the case of tenue form 1-3 years. III. 10% of the balance sheet value, in the case of tenue form 1-3 years. III. 10% of the balance sheet value, in the case of tenue form 1-3 years. III. 10% of the balance sheet value, in the case of tenue form 1-3 years. III. 10% of the balance sheet value, in the case of tenue form 1-3 years. III. 10% of the balance sheet value, in the case of tenue form 1-3 years. III. 10% of the balance sheet value, in the case of the stemetic stem		ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years.			
1.10% of the balance sheet value, in the case of tenue form -1 3 years. III.15% of the balance sheet value, in the case of tenue form -1 3 years. 1.5 Investment in Equity Securities III.15% of the balance sheet value, in the case of tenue of more than 3 years. 1.6 Investment in Equity Securities on the cutoff date as computed by the Securities Exchange for expective securities whichever is higher. III.15% Intribution of the securities on the cutoff date as computed by the Securities Exchange for expective securities whichever is higher. III.15% III. Initisted. 100% of camping value. III.15% III.15% III. Initisted. 100% of value of Investment in any asset folded in the investment is balance value. IIII.15% III. Initisted. 100% of reading value. III.15% IIII.15% III. Initisted. 100% of a data securities and explain in account any explain the particle value. IIII.15% III. Initisted. 100% of value. III.15% IIII.15% III.16% IIII.16% <td></td> <td>iii. 10% of the balance sheet value, in the case of tenure of more than 3 years.</td> <td></td> <td></td> <td></td>		iii. 10% of the balance sheet value, in the case of tenure of more than 3 years.			
iii. 122.5% of the balance sheet value, in the case of tenue form 1-3 years. iii. iii. 15% of the balance sheet value, in the case of tenue of more than 3 years. iii. iii. If itsel 15% of x46 of each securities on the cutolf date as computed by the Securities Exchange for respective securities whichever is higher. iii. If itsel 15% of x46 of each securities on the cutolf date as computed by the Securities Exchange for respective securities whichever is higher. iii. If unitsed. 100% of cam/ng value. iii. Subscription money against Maryan ablaned or are not included in the investment of securities boker. iv.100% Haicut shall be applied to Value of Investment in any asset including shares of listed securities that are in Block. Freeze or Pledge exploring date. (July 19, 2017) Provided that there feese or Pledge exploring date. (July 19, 2017) Provided that 10% haicut shall not be applied in case of investment in hore securities which are Pledged in favor of Stock Exchange (Clearing House against Maryang) Financing requirements or pledged in favor of Banks against Short ferm financing attrangements. In such cases, the haicut as provided in scheme the securities as computed by the Securites Exchange for respective securities whichever is higher. 1.6 Investment in subsidiaries 19,158,233 19,158,233 19,158,233 19,158,233 19,158,233 19,158,233 19,158,233 19,158,233 19,158,233 11,160,000 1,400,000 35,000 35,000,000 35,000,000 35,000,00					
III. 15% of the balance sheet value, in the case of tenure of more than 3 years. III. 15% of the balance sheet value, in the case of tenure of more than 3 years. 1.5 Investment in Equity Securities on the cutoff date as computed by the Securities Exchange for respective securities whichever is higher. III. If initiated 10% of Caming value. III. Statusties whichever is higher. III. If unlisted. 100% of Caming value. III. If unlisted 100% of Caming value. III. Statusties whichever is higher. III. If unlisted 100% of Caming value. III. If unlisted 100% of Caming value. III. If and 15% of the balance value of investment in any asset including phases of listed securities that are in Block. Freere or Pledge status as on reporting date. (July 19, 2017). Provided that 100% halcut shall not be applied in case of investment in any asset including phases or pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged in savor of Stock Exchange / Clearing House against Margin Financing requirements or pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements any subscription money which were its as computed by the Securities shall be applicable (August 25, 2017) 1.6 Investment in subscriptions in respect of Investment in securities shall be applicable (August 25, 2017) 1.7 Investment is unsolation as a computed by the Securities Exchange for respective securities whichever is higher. 1.8 Instatutory or regulatory deposits with the exchanges, clearing house or central depository in 4400,000					
Initiated 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for respective securities whichever is higher. Initiated, 10% of carking value. II if unisted, 10% of carking value. IPO of carking value. IPO of carking value. II if unisted, 10% of carking value. IPO of carking value. IPO of carking value. II if unisted, 10% of carking value. IPO of carking value. IPO of carking value. II if unisted, 10% of carking value. IPO of carking value. IPO of carking value. IV 10% Haircut shall be applied to Value of Investment in any asset including shares of Ilsted securities which are Pledged in favor of Stock Exchange (Clearing Hubuse against Margin Financing requirements or pledged in favor of Stock Exchange (Clearing Hubuse against Margin Financing requirements or pledged in favor of Stock Exchange (Clearing Hubuse against Margin Financing requirements are provided in scheduel III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017) 1.6 Investment in associated companies/undertaking III in the regulations in respect of investment in securities shall be applicable (August 25, 2017) 1.6 Investment in subscialaries IPI integrating and any of the regulations in respect of investment in securities shall be applicable (August 25, 2017) 1.6 Investment in subscialaries IPI integrating and any of the regulation in respect of investinters in subscialaries 1.7<					
iespective securities whichever is higher. iii. If unisted, 100% of carrying value. iii. Unisted, 100% of carrying value. iii. Subscription money against Investment in IPObffer for Sale: Amount paid as subscription money provided that shares have not been alloted or are not included in the investments of securities torker. v100% Halicut shall be applied to Value of Investment in any asset including shares of listed securities that are in Block, Freeze or Pledged status as on reporting date. (July 19, 2017) Provided that 100% halicut shall not be applied in case of investment in those securities which are Pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged in favor or gual sony or tegal	1.5				
ii. If unlisted, 100% of carrying value. iii. Subcription money against Investment in IPOb/fer for Sale: Amount paid as subscription money provided that shares have not been alloted or are not included in the investments of securities broker. ivestigation IV. 100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities broker. ivestigation IV. 100% Haircut shall be applied to Value of Investment in Insex securities which are Pleidged in favor of Stock Exchange / Cleaning House against Margin Financing requirements or pledged in favor of Stock Exchange / Cleaning House against Margin Financing requirements or pledged in favor of Stock Exchange / Cleaning House against Margin Financing requirements or pledged in respect of investment in securities shall be applicable (August 25, 2017) 16 Investment in subsidiaries investment in subsidiaries 17. Investment in subsidiaries investment in subsidiaries investment in subsidiaries 18. Istutory or regulatory depositibasic deposits with the exchanges, clearing house or central depository 14,00,000 1,400,000 19. Investments under entity. 35,600,000 0 35, 110 Deposit with authorized Intermediay against borrowed securities under SLB. 0 0 0 1110 Cher deposits and prepayments 3,468,607 3,468,607 3,468,607 112 </td <td></td> <td></td> <td></td> <td></td> <td></td>					
iii Subscription money against Investment in IPOloffer for Sale: Amount paid as subscription money provided that shares have not been alloted or are not included in the investments of securities broker. iv 100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities that are in Block. Freeze or Pledge status as on reporting data (.July 19, 2017) Provided that 100% haircut shall not be applied in case of investment in those securities which are Pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements for pledged in favor of VaR of each securities accomputed by the Securities shall be applicable (August 25, 2017) 1.6 Investment in associated Companies/undertaking i. If unlisted, 100% of net value. 19,158,233 19,158,233 1.8 Statutory or regulatory depositsbasic deposits with the exchanges, clearing house or central depository or any other entity. 1,400,000 1,400,000 1.9 Margin deposits with exchange and clearing house. 3,468,607 3,468,607 1.10 Obposit with authorized intermediary against borrowed securities and other related parties etc. (Nil) 3,468,607 3,468,607 1.11 Other deposits and preparyments and preparyments 2,542,008 2,542,008 1.12 Deposit with authorized interments, subidiaries and other related parties and the probabilisting authorized interments. 2,542,008		ii If unlisted 100% of carrying value			
iv.100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities that are in Block. Freeze or Pledge status as on reporting date. (July 19, 2017) Provided that 100% haircut shall not be applied in case of investment in those securities which are Pledged in favor of Stock Exchange (Clearing House against Margin Financing requirements or pledged in favor of Stock Exchange (Clearing House against Margin Financing requirements or pledged in favor of Stock Exchange (Clearing House against Margin Financing requirements or pledged in favor of Stock Exchange (Clearing House against Margin Financing requirements or pledged in favor of Stock Exchange (Clearing House against Margin Financing requirements or pledged in favor of Stock Exchange (Clearing House) 1.6 Investment in subsidiaries 1.7 Investment in subsidiaries 1.8 Instatutory or regulatory depositsbasic deposits with the exchanges, clearing house or central depository 1.8 Statutory or regulatory depositsbasic deposits with the exchanges, clearing house or central depository 1.9 Margin deposits with exchange and clearing house. 35,600,000 1.9 Margin deposits and prepayments 3,468,607 1.10 Other deposits and prepayments 3,468,607 1.11 Other deposits und arx-up on amounts placed with financial institutions or debt securities etc.(NII) 4 1.13 Dividends receivables 4 1.14 Amounts receivable against Re		iii.Subscription money against Investment in IPO/offer for Sale: Amount paid as subscription money			
that are in Block. Freeze or Pledge status as on reporting date. (July 19, 2017) Provided that 100% haircut shall not be applied in case of investment in those securities which are Pledged in favor of Stock Exchange (Clearing House against Margin Financing requirements or pledged in favor of Stock Exchange (Clearing House against Margin Financing grungments) in such cases, the halrcut as provided in schedule ill of the Regulations in respect of investment in such cases, the halrcut as provided in schedule ill of the Regulations in respect of investment in such cases, the halrcut as provided in schedule ill of the Regulations in respect of investment in such cases, the halrcut as provided in schedule ill of the Regulations in respect of investment in securities shall be applicable (August 25, 2017) 1.6 Investment in associated companies/undertaking 11 1.7 Investment in associated companies/undertaking 11 1.8 Statutory or regulatory depositsbacic deposits with the exchanges, clearing house or central depository 1,400,000 0 are any other entity. 36,600,000 0 1.0 Deposit with autorized intermediary against borrowed securities under SLB. 0 0 1.11 Other deposits and prepayments 34,66,607 3,466,607 1.12 Accured Interest, profit or mark-up on amounts placed with financial institutions or debt securities effect. (Nil) 100 1.13 Dividends receivables and prepayments. 22,753 0					
Provided that 100% halicut shall not be applied in case of investment in those securities which are Pledged in favor of Stock Exchange / Cleaning House against Margin Financing requirements or pledged in favor of Stock Exchange / Cleaning House against Margin Financing requirements or pledged in favor of Stock Exchange / Cleaning House against Margin Financing requirements or pledged in favor of Stock Exchange / Cleaning House against Margin Financing requirements or pledged in favor of Stock Exchange / Cleaning House Status against Margin Financing requirements or pledged in favor of Stock Exchange / Cleaning House Status against Margin Financing requirements or pledged in favor of Stock Exchange for respective securities whichever is higher. Image: Status against Margin Financing Requirements or pledged in fluid exposits against Margin Financing Requirements or pledged in fluid regosits with exchange and clearing house. 19, 158, 233 19, 158, 233 1.8 Statutory or regulatory depositsbasic deposits with the exchanges, clearing house or central depository or any other entity. 1,400,000 1,400,000 1.9 Margin deposits with exchange and clearing house. 35,600,000 0 35, 1,10 1.10 Deposit with authorized Intermediary against borrowed securities under SLB. 0 0 0 1.11 Other deposits and prepayments 3,468,607 3,468,607 3,468,607 1.12 Accrued Interest, profit or mark- up on amounts placed with financial institutions or debt securities etc.(Nil) 100% in respect of markup accrued on loans to					
In favor of Banks against Short Term financing arrangements. In such cases, the haircut as provided in schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017) 1.6 Investment in associated companies/undertaking		Provided that 100% haircut shall not be applied in case of investment in those securities which are			
schedule III of the Regulations in respect of Investment in securities shall be applicable (August 25, 2017) Image: Comparison of the instruction of the instructio					
1.6 Investment in subsidiaries Investment in associated companies/undertaking 1.7 Investment in associated companies/undertaking Investment in associated companies/undertaking 1.7 Investment in ubsidiaries 19,158,233 1.8 Statutory or regulatory deposits/asic deposits with the exchanges, clearing house or central depository 1,400,000 0 argin deposits with exchange and clearing house. 35,600,000 0 1.9 Margin deposits with exchange and clearing house. 35,600,000 0 1.10 Deposit with authorized intermediary against borrowed securities under SLB. 0 0 1.11 Other deposits and prepayments 3,468,607 3,468,607 1.12 Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities 1 1.13 Dividends receivables. 1 1 1.14 Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.) 1 1 1.15 i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months 22,753 0 1.16 Receivables from clearing house or securities exchange(s) 1 1 1 <td< td=""><td></td><td></td><td></td><td></td><td></td></td<>					
If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities whichever is higher. Image:	1.6				
whichever is higher. 1 ii. If unlisted, 100% of net value. 19,158,233 1.8 Statutory or regulatory depositsbasic deposits with the exchanges, clearing house or central depository or any other entity. 1,400,000 1.9 Margin deposits with exchange and clearing house. 35,600,000 0 1.0 Deposit with authorized intermediary against borrowed securities under SLB. 0 0 1.11 Other deposits and prepayments 3,468,607 3,468,607 1.12 Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities etc.(NII) 100% in respect of markup accrued on loans to directors, subsidiaries and other related parties 11 1.13 Dividends receivables. 1 1 1.14 Amounts receivables. 1 1 1.15 i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months 22,753 0 1.16 Receivables from clearing house or securities exchange(s) 1 1 0 0 1.16 I.10% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains. 0 0 1.16 Receivables from clearing house or securities in all markets including MtM gains. </td <td>1.7</td> <td></td> <td></td> <td></td> <td></td>	1.7				
ii. If unlisted, 100% of net value. 19,158,233 19,158,233 1.8 Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository or any other entity. 1,400,000 1.9 Margin deposits with exchange and clearing house. 35,600,000 0 1.9 Margin deposits with exchange and clearing house. 35,600,000 0 1.10 Deposit with authorized intermediary against borrowed securities under SLB. 0 0 1.11 Other deposits and prepayments 3,468,607 3,468,607 1.12 Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities etc.(Nil) 100% in respect of markup accrued on loans to directors, subsidiaries and other related parties 1 1.13 Dividends receivable against Repo financing. 1 1 1.14 Armounts receivable against Repo financing. 1 1 1.15 i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months 22,753 0 1.15 i. Short Term Loan To Employees: Loans are Secured of entitlements against trading of securities in all 0 0 1.16 Receivables from Clearing house or securities exchange(s) 1 1 0 1.					
1.8 Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository or any other entity. 1,400,000 1.9 Margin deposits with exchange and clearing house. 35,600,000 0 1.10 Deposit with authorized intermediary against borrowed securities under SLB. 0 0 1.11 Other deposits and prepayments 3,468,607 3,468,607 1.12 Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities etc.(Nii) 10% in respect of markup accrued on loans to directors, subsidiaries and other related parties 11 1.13 Dividends receivables. 0 0 1.14 Amounts receivable against Repo financing. 0 0 Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.) 0 0 1.15 i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months 22,542,008 2,542,008 1.16 Receivables from clearing house or securities exchange(s) 0 0 0 ii. Receivable or entitlements against trading of securities in all markets including MtM gains. 13,391,039 0 13, 1.17 Receivables from customers 0			19 158 233	19 158 233	
1.9 Margin deposits with exchange and clearing house. 35,600,000 0 35, 1.10 Deposit with authorized intermediary against borrowed securities under SLB. 0 0 0 1.11 Other deposits and prepayments 3,468,607 3,468,607 3,468,607 1.12 Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities etc.(Nii) 3,468,607 3,468,607 1.00% in respect of markup accrued on loans to directors, subsidiaries and other related parties 0 0 0 1.13 Dividends receivables. 0 0 0 0 1.14 Amounts receivable against Repo financing. Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.) 0 0 1.15 i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months 22,753 0 1.16 Receivables other than trade receivables 2,542,008 2,542,008 1.16 Receivables from clearing house or securities exchange(s) 0 0 i. Receivables other than those on account of entitlements against trading of securities in all markets including MtM gains. 13,391,039 0 13, 1.	1.8				
1.10 Deposit with authorized intermediary against borrowed securities under SLB. 0 0 1.11 Other deposits and prepayments 3,468,607 3,468,607 1.12 Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities etc.(Nil) 3,468,607 3,468,607 1.12 Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities etc.(Nil) 0 0 1.13 Dividends receivable against Repo financing. Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.) 0 0 1.15 i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months 22,753 0 1.16 Receivables other than trade receivables 2,542,008 2,542,008 1.16 Receivables from Clearing house or securities exchange(s) 0 0 i. Receivable on entitlements against trading of securities in all 0 0 0 markets including MtM gains. 13,391,039 0 13, 1.17 Receivables from Customers 1 0 0 i. Receivables from Customers 1 0 0 13, i. Receivable	1.0	or any other entity.	05 000 000		05 000 000
1.11 Other deposits and prepayments 3,468,607 3,468,607 1.12 Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities etc.(Nii)		Deposits with authorized intermediary against borrowed securities under SLB			35,600,000
etc.(Nil) 100% in respect of markup accrued on loans to directors, subsidiaries and other related parties		Other deposits and prepayments			
100% in respect of markup accrued on loans to directors, subsidiaries and other related parties 1 1.13 Dividends receivables. 1 1.14 Amounts receivable against Repo financing. Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.) 1 1.15 i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months 22,753 0 1.16 Receivables from clearing house or securities exchange(s) 1 1 1.16 Receivables from clearing house or securities exchange(s) 0 0 1.17 Receivables on entitlements against trading of securities in all markets including MtM gains. 13,391,039 0 13, 1.17 Receivables from customers 1	1.12				
1.14 Amounts receivable against Repo financing. Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.) 1.15 i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months 22,753 0 1.16 i. Receivables other than trade receivables 2,542,008 2,542,008 1.16 Receivables from clearing house or securities exchange(s) 0 0 i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains. 0 0 ii. Receivables from customers 0 0 0 ii. Receivables are against trading of securities in all markets including MtM gains. 13,391,039 0 13, 1.17 Receivables from customers 0 0 0 0 ii. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the finance (iii) 0 13,					
Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.) Image: Secure of the investment inv					
shall not be included in the investments.) i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months 22,753 0 1.15 i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months 22,753 0 ii. Receivables other than trade receivables 2,542,008 2,542,008 2,542,008 1.16 Receivables from clearing house or securities exchange(s) 0 0 i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains. 0 0 ii. Receivable on entitlements against trading of securities in all markets including MtM gains. 13,391,039 0 13, 1.17 Receivables from customers 1 1 1 1 i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) 1 1	1.14	Amounts receivable against Repo financing.			
ii. Receivables other than trade receivables 2,542,008 1.16 Receivables from clearing house or securities exchange(s) ii. 100% value of claims other than those on account of entitlements against trading of securities in all 0 markets including MtM gains. 0 ii. Receivables from customers 13,391,039 ii. Receivables from customers 0 ii. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii)					
1.16 Receivables from clearing house or securities exchange(s) 0 i. 100% value of claims other than those on account of entitlements against trading of securities in all 0 0 markets including MtM gains. 13,391,039 0 13, ii. Receivables from customers 1 0 0 i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) 0 13,	1.15	i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months	22,753	0	22,753
1.16 Receivables from clearing house or securities exchange(s) 0 i. 100% value of claims other than those on account of entitlements against trading of securities in all 0 0 markets including MtM gains. 13,391,039 0 13, ii. Receivables from customers 1 0 0 i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) 0 13,		ii. Pecaivables other than trade receivables	2 542 008	2 542 008	
i. 100% value of claims other than those on account of entitlements against trading of securities in all markets including MtM gains. 0 0 markets including MtM gains. ii. Receivable on entitlements against trading of securities in all markets including MtM gains. 13,391,039 0 13, 1.17 Receivables from customers 0 0 0 13, i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the finance (iii) 0 0	1.16		2,342,000	2,342,000	
ii. Receivable on entitlements against trading of securities in all markets including MtM gains. 13,391,039 0 13, 1.17 Receivables from customers			0	0	
1.17 Receivables from customers i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii)			40.004.000		40.004.000
i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii)	1 17		13,391,039	0	13,391,039
blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii)	1.17				
market value of envice ourities dependent of an adjusteral offer envices V-D been discuss		blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii)			
		market value of any securities deposited as collateral after applying VaR based haircut.			
i. Lower of net balance sheet value or value determined through adjustments. ii. Incase receivables are against margin trading, 5% of the net balance sheet value.					
ii. Net amount after deducting haircut		ii. Net amount after deducting haircut			
iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,					
iii. Net amount after deducting haricut					
iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. 2,924,992 0 2,		iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.	2,924,992	0	2,924,992
iv. Balance sheet value v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market 8,483,449 8,000,458 8,			8 483 440	8 000 459	8,000,458
value of securities purchased for customers and held in sub-accounts after applying VAR based			0,400,449	0,000,408	0,000,400
haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of		haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of			
securities held as collateral after applying VaR based haircuts. vi. 100% haircut in the case of amount receivable form related parties.					
1.18 Cash and Bank balances	1.18				
			18,644,032	0	18,644,032
ii. Bank balance-customer accounts 15,837,134 0 15,		ii. Bank balance-customer accounts	15,837,134		15,837,134
iii. Cash in hand 12,889 0		jiii. Cash in hand	12,889	0	12,889

Schedule III [See regulation 6(4)]

Liquid Capital Statement

for the month of **30-sep-20**

of M/s. MGM Securities (Pvt) Ltd

Submission Date 14-OCT-2020 16:37:45

	Submission Date 14-OCT-2020 10.37.43		Page	2 Of 3
S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
1.19	Total Assets	131,881,646	44,965,816	94,433,297
2	Liabilities			
2.1	Trade Payables			
	i. Payable to exchanges and clearing house ii. Payable against leveraged market products			
	iii. Payable against levelaged market products	42,301,072	0	42,301,072
2.2	Current Liabilities	/ /-		,,-
	i. Statutory and regulatory dues	4,519,052	0	4,519,052
	ii. Accruals and other payables iii. Short-term borrowings			
	iv. Current portion of subordinated loans			
	v. Current portion of long term liabilities			
	vi. Deferred Liabilities vii. Provision for bad debts	776,274	0	776,274
	viii. Provision for taxation	110,211		
	ix. Other liabilities as per accounting principles and included in the financial statements	291,653	0	291,653
2.3	Non-Current Liabilities			
	i. Long-Term financing a. Long-Term financing obtained from financial instituion: Long term portion of financing obtained from			
	a financial institution including amount due against finance lease			
	b. Other long-term financing	67,660	0	67,660
	ii. Staff retirement benefits iii. Advance against shares for Increase in Capital of Securities broker: 100% haircut may be allowed in			
	respect of advance against shares if:			
	a. The existing authorized share capital allows the proposed enhanced share capital			
	b. Boad of Directors of the company has approved the increase in capital c. Relevant Regulatory approvals have been obtained			
	d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements			
	relating to the increase in paid up capital have been completed.			
	e. Auditor is satisfied that such advance is against the increase of capital. iv. Other liabilities as per accounting principles and included in the financial statements			
2.4	Subordinated Loans			
	 i. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted: The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the conditions specified by SECP. In this regard, following conditions are specified: a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period b. No haircut will be allowed against short term portion which is repayable within next 12 months. c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be submitted to exchange. ii. Subordinated loans which do not fulfill the conditions specified by SECP 			
2.5	Total Liabilites	47.955.711	0	47,955,711
3	Ranking Liabilities Relating to :			
3.1	Concentration in Margin Financing			
	The amount calculated client-to- client basis by which any amount receivable from any of the			
2.2	financees exceed 10% of the aggregate of amounts receivable from total financees.			
3.2	Concentration in securites lending and borrowing The amount by which the aggregate of:			
	(i) Amount deposited by the borrower with NCCPL			
	(li) Cash margins paid and			
	(iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed			
3.3	Net underwriting Commitments			
	(a) in the case of right issuse : if the market value of securites is less than or equal to the subscription			
	price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and			
	(ii) the value by which the underwriting commitments exceeds the market price of the securities.			
	In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of			
	the Haircut multiplied by the net underwriting (b) in any other case : 12.5% of the net underwriting commitments			
3.4	Negative equity of subsidiary			
	The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary)			
25	exceed the total liabilities of the subsidiary			
3.5	Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency.Net position in foreign currency means the difference of total			
	assets denominated in foreign currency less total liabilities denominated in foreign currency			
3.6	Amount Payable under REPO			
3.7	Repo adjustment			

Schedule III [See regulation 6(4)]

Liquid Capital Statement

for the month of **30-SEP-20**

of M/s. MGM Securities (Pvt) Ltd

Submission Date 14-OCT-2020 16:37:45

			Page	3 Of 3
S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securites. In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.			
3.8	Concentrated proprietary positions If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security			
3.9	Opening Positions in futures and options i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts ii. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met			
3.10	Short sell positions i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11	Total Ranking Liabilites	0	0	40 477 500
3.12	Liquid Capital	83,925,935	44,965,816	46,477,586